



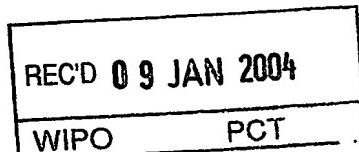
REC'D PATENT 03 JUN 2003
03 JUN 2003 / 005311



INVESTOR IN PEOPLE

PRIORITY DOCUMENT

SUBMITTED OR TRANSMITTED IN
COMPLIANCE WITH RULE 17.1(a) OR (b)



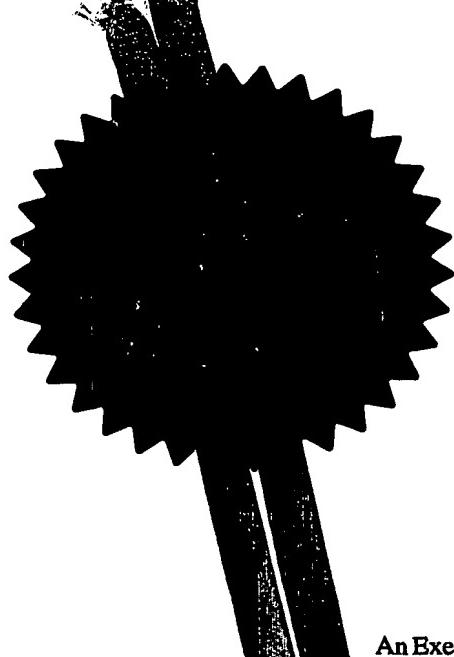
The Patent Office
Concept House
Cardiff Road
Newport
South Wales
NP10 8QQ

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.



Signed

Dated 21 November 2003

BEST AVAILABLE COPY

The
Patent
Office

1/77

06DEC02 E768965-1 D02882
P01/7700 0.00-02284216 The Patent Office**Request for grant of a patent**

See the notes on the back of this form. You can also get an explanatory leaflet from the Patent Office to help you fill in this form)

- 5 DEC 2002

Concept House
Cardiff Road
Newport
South Wales NP10 8QQ

Your reference

RECEIVED BY HAND
PA/61620/000Patent application number
(The Patent Office will fill in this part)

0228421.4

- 5 DEC 2002

3. Full name, address and postcode of the or of each applicant (underline all surnames)EXOSECT LIMITED
2 Venture Road
Chilworth Science Park
SOUTHAMPTON
SO16 7NP
United Kingdom

Patents ADP number (if you know it)

8522385001

If the applicant is a corporate body, give the country/state of its incorporation

UK

4. Title of the invention

LIPID CARRIERS

5. Name of your agent (if you have one)

BOULT WADE TENNANT

"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)

VERULAM GARDENS
70 GRAY'S INN ROAD
LONDON WC1X 8BT

Patents ADP number (if you know it)

42001

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number

Country Priority application number (if you know it) Date of filing (day/month/year)

7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application

Number of earlier application

Date of filing (day / month / year)

8. Is a statement of inventorship and of right to grant of a patent required in support of this request?

Yes

(Answer 'Yes' if:

- a) any applicant named in part 3 is not an inventor, or
- b) there is an inventor who is not named as an applicant, or
- c) any named applicant is a corporate body.

See note (d))

Patents Form 1/77

9. Enter the number of sheets for any of the following items you are filing with this form. Do not count copies of the same document

Continuation sheets of this form

Description 12

Claim(s) 4

Abstract

Drawing(s) 3 X²

CF

10. If you are also filing any of the following, state how many against each item.

Priority documents

Translations of priority documents

Statement of inventorship and right to grant of a patent (*Patents Form 7/77*)

Request for preliminary examination and search (*Patents Form 9/77*)

Request for substantive examination
(*Patents Form 10/77*)

Any other documents
(Please specify)

11

I/We request the grant of a patent on the basis of this application.

Signature

Date 5 December 2002

Bart Hude Temat

12. Name and daytime telephone number of person to contact in the United Kingdom

S.J.ALLARD
020 7430 7500

Warning

After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked.

Notes

- a) If you need help to fill in this form or you have any questions, please contact the Patent Office on 01645 500505.
- b) Write your answers in capital letters using black ink or you may type them.
- c) If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.
- d) If you have answered 'Yes' Patents Form 7/77 will need to be filed.
- e) Once you have filled in the form you must remember to sign and date it.
- f) For details of the fee and ways to pay please contact the Patent Office.

Lipid Carriers

The present invention relates to a method of controlling insects and other arthropod pests, such as ticks and mites, by contaminating the pests with fine coated metallic particles formulated with biologically active compounds, such that the contamination is disseminated to other individuals in the population by contact. This process, known as autodissemination, is analogous to the spread of disease-causing microorganisms in man by contact.

The method is particularly suitable for flying or crawling insects, mites and ticks, including pests encountered in agriculture, horticulture, forestry and public health. Such pests include (among others) ants and termites, lepidopteran pests (moths), flies (e.g. fruit flies, tsetse flies, biting flies, houseflies and mosquitoes), cockroaches and coleopteran pests (e.g. beetle pests of forestry plantations).

The widespread use of chemical pesticides in crop protection has led to the development of resistance to a wide range of pesticides in many species of insect, and the resistance continues to develop. In attempts to counter resistance, overuse of pesticides and the resulting environmental and crop pollution and mortality of beneficial insects have also resulted in more and more insecticides in common use being withdrawn from registration throughout the world, particularly in the European community and North America. Both of these factors make it desirable to develop new control measures that present fewer hazards to farmers, consumers and the environment, targeting the pest species effectively and minimising the amounts of pesticidal substances used.

WO 94/00980 describes a method of controlling pests, such

as insects, involving the use of electrostatically charged powders, in which the powders are used to adhere to the insect cuticle and also act as carriers for pesticides or other biologically active compounds.

5

The main disadvantages of electrostatically charged particles is that they must first be charged before they can be applied to the pests. This may be achieved by friction, for example, but the charged particles lose their charge rapidly in conditions of high humidity and when moisture films develop. Furthermore, the particles are dislodged or removed from baited surfaces by wind or by shaking.

10

15

20

25

30

35

WO 00/01236 describes a method of controlling pests, such as insects, by trapping and/or killing them wherein the pest is exposed to a composition comprising particles containing or consisting of at least one magnetic material. The said application also describes particles which have an inert core which acts as a carrier for biologically active materials, the core being coated with a permanently magnetic material.

The use of magnetic materials of the form as described in WO 00/01236 has the following disadvantages. First, the magnetic surface has very poor retention properties for active ingredients, especially if, as is commonly the case, the active ingredients are very volatile. Secondly, active ingredients contained within the inner core of a magnetically-coated particle are not easily accessible to the surface of the pest. Thirdly, the magnetic particles are "hard" magnets which retain their magnetism, as opposed to "soft" magnets of the type used, for example, in solenoids, in which the magnetism is lost immediately that they are removed from a magnetic or electrical field. Hard magnetic particles are difficult to produce in a specified size range, weight or shape

because they lose their magnetism, when milled.
Fourthly, because the only economic source of hard
magnetic particles is from the finings of mining
operations, toxic metallic salts may be present as
5 contaminants, and it would be undesirable to introduce
these into a crop environment.

We have now developed a method and apparatus for
controlling pests which involves the use of metallic
10 particles which are initially unmagnetised but which are
capable of becoming magnetically polarised when subjected
to an electrical field in close proximity thereto such as
that provided by the insect body. Such particles will be
unaffected by moisture or humidity and, when anchored on
15 a conducting or magnetic surface will remain in position
for long periods of time. This invention therefore
differs from that described in WO 00/01236 which
specifically excludes the possibility of the use of
metallic particles or non-magnetically polarised
20 materials such as ferrous iron, unless they are admixed
with hard magnetic materials and therefore pre-
magnetised.

Accordingly, the present invention provides a method of
25 controlling pests which comprises exposing a surface of
the pest to a particulate composition containing
particles of an initially unmagnetized material, which is
capable of becoming magnetically polarized when subjected
to an electrical or magnetic field, the said particles
30 being associated with at least one pesticide or behaviour
modifying chemical.

By the term "pesticide" as used herein is meant an
insecticide, acaricide, fungicide, insect growth
35 regulator, chemosterilant, bacterium, fungus or virus.

In another aspect the present invention provides a

pesticidal composition in particulate form which comprises particles of an initially unmagnetized material, which is capable of becoming magnetically polarized on exposure to an electrical or magnetic field,
5 the said particles being associated with at least one pesticide or behaviour modifying chemical.

The particles may be milled down to a preferred size range, weight or shape, such that they may, if desired,
10 detach easily from the surface of the insect on contact. Furthermore, the particles may be prepared from metallic iron, for example, which is free from possible contaminants.

15 A preferred aspect of the present invention is the use of metallic particles coated with a material which acts as a carrier for a pesticide or a behaviour modifying compound such as a pheromone or a compound with a similar action (semiochemicals). Suitable carrier materials are lipids,
20 including fatty acids and their esters, such as stearic acid, stearates palmitic acid, palmitates etc. which form a coating on the particles and permit the incorporation of any active ingredient which has some lipid solubility therein. In this way, active ingredients are placed in
25 direct contact with the surface of the insect when a coated particle is resting on the insect cuticle.

30 The efficacy and power of adhesion of electrostatically charged particles depends on their ability to attach to the insect cuticle because it is an electret, i.e. it is permanently electrically polarised. The efficacy of certain metallic particles to attach to the cuticle is believed to be due to their property of interaction with the weak electrical field generated by the movement of
35 ions within the body of the insect. In the present invention, the metal particles become magnetically polarised in the presence of the electrical field at the

surface of the living insect and this serves to hold the metal particles against the insect cuticle. It is important to note that the particles acquire their adhesive properties only when they are in contact with the outer surface of the insect and when they are thus acting as miniature solenoids. This is a mode of action distinct from that described in WO 00/01236, in which the particles are premagnetised.

Pesticides in the lipid coating of the attached particles are then able to diffuse into the lipid layers of the insect cuticle and enter the body of the insect. Particles formulated with volatile semiochemicals remain on the surface of the insect acting as emitting sources and biopathogens are anchored onto the body of the insect for long periods thereby facilitating their invasion of the body tissues.

A further preferred aspect of the present invention is the use of powders of a selected size and mass, such that the mass is low enough when the particle is at rest not to overcome the magnetic attraction to the surface of the insect, but high enough to become detached and transferred on contact with the cuticle of a second insect. In this way the optimum amount of transfer of particles will occur between insects, and few particles will fall off when the insect is walking, or in flight.

The biologically active compounds used to effect control of insects include conventional chemical insecticides, biological insecticides, naturally-occurring insecticides and behaviour-modifying compounds.

Chemical insecticides are preferably slow-acting, so that the insects survive exposure to the material long enough to pass on the particles to one or more other insects. Naturally-occurring insecticides include materials such

as plant extracts and essential oils, including oil of thyme, oil of rosemary, cedarwood oil, neem extract, camphor oil, camomile oil, etc. Biological insecticides are understood to include entomopathogens such as

5 viruses, bacteria (i.e. *Bacillus thuringiensis*), and fungal spores (e.g. *Metarrhizium* and *Beauvaria* species). Behaviour modifying compounds are also known as semiochemicals.

10 A semiochemical is a chemical that affects the behaviour of an organism. Semiochemicals used in communication between members of the same species are known as pheromones, and those involved in communication between members of different species are classed as

15 allelochemicals. Allelochemicals may be involved, for example in, communication between different species of animals, or between plants and animals. Semiochemicals may be attractive or repellent, or have other effects on behaviour. Insect pheromones may be, for example

20 species-specific sex pheromones which can be used to interfere with mate-seeking, aggregation pheromones and alarm pheromones, which can be used to attract insects to baits.

25 Where a chemical or naturally occurring insecticide or acaricide is used, the amount of active ingredient formulated in the particles will range from 0.01 to 10% by weight. Where an entomopathogen is used, the quantity may be greater, because of the size of the pathogenic

30 organism involved, reaching up to 40% by weight. Where a semiochemical is used, the amount of material required to affect the behaviour of the organism when the particulate material is resting on the body surface may be extremely low in view of the extremely high sensitivity of insect

35 chemical sense organs to certain semiochemicals. A semiochemical present in amounts from 0.1 picograms per particle to 1 microgram per particle will affect

behaviour, where the overall particle averages 0.1 to 50 micrometres.

The preferred coating on the metallic particles is lipid in nature, of a material which does not confer strong electrostatic properties, and in which the active ingredients can be absorbed or to which they can be adsorbed. For these reasons coatings of lipid, including fatty acids, their salts and esters, are highly suitable. Other materials which may be used include resins, and polymers with weak tribocharging properties, such as acrylic polymers. The thickness of the coating must satisfy the requirement of permitting the metallic core to come as close as possible to the insect cuticle and that of being of sufficient thickness to sequester the required quantities of the active ingredient. In general, the thickness of the coating will be between 100 nanometres and five micrometres. The physico-chemical nature of the active ingredient may also make the use of a coating unnecessary, for example an oily material can be applied directly to the metallic particles.

Preferably, the metallic material consists of soft iron. In its natural state this is not magnetic and becomes magnetically polarised only when placed in a magnetic or electrical field. In the present invention the particles are unmagnetised and when they are transferred to the insect cuticle by contact, they are subject to the weak electrical field across the cuticle and so become weakly polarised. Soft iron is one of the group of substances capable of being magnetised, which also includes nickel and cobalt. Metallic nickel and cobalt particles may also be used in the present invention but these are an order of magnitude less susceptible to an electromagnetic field and so are not preferred.

The mass of iron is very high and therefore particles of

large size will not stay on the pest. The particles must therefore have a low unit weight, corresponding to that of a sphere of diameter between 0.1 and 50 micrometres.

However, a spherical form is not essential, and fine

5 flakes of an equivalent volume are preferred because they will give a greater area of contact with the cuticular surface and are more likely to lodge in folds in the flexible intersegmental membranes of arthropods.

10 The mode of application of the method of the invention differs according to the type of pest, but in all cases relies on part of the body of the pest coming into contact with powdered material on a coated surface.

15 The present invention also includes within its scope an insect trap or dispenser in which at least one surface thereof is coated with a pesticide composition of the invention.

20 In order to control household insect pests such as cockroaches, ants and termites it is desirable to attract the insects into a dispenser, similar to a bait station, into which the insects can easily enter and leave, and in which they are exposed to surfaces coated with the

25 metallic powder. For flying insects, such as moths, beetles, bugs, and flies of various kinds, the powder may be placed in a container into which the insects can easily enter and leave, but come into contact with a layer or coating of lipid-coated particles when they are

30 inside the container.

The present invention will be further described with reference to the accompanying drawings, in which:-

35 Figure 1A is a perspective view of an apparatus for the control of crawling insects;

Figure 1B is a plan view of the apparatus of Figure 1A with the top surface of the container notionally removed;

5 Figure 2 is a perspective view of an apparatus for the control of flying pests; and

Figure 3 is a perspective view of a further apparatus for the control of flying pests.

10 Referring to Figures 1A and 1B, a shallow container 1 is closed at its top and attached to a base plate 2. The container has four separate openings, 3 which function as entrances and exits for crawling insects, such as ants or cockroaches. The entrances lead through passageways 4 inside the container to a central area 5 which is coated with a powder which comprises soft iron particles coated with a lipid material which is impregnated with a slow-acting insecticide. The soft iron particles are held in place by incorporating a material with conducting or 15 magnetic properties in the central area 5 to which the soft iron particles become attached. The insects, such as cockroaches, are attracted into the container by a chemical or food-based attractant, and in the process of exploration pick up the soft iron particles on their feet 20 and bodies. Individual cockroaches then return to their harbourages and spread the powder to other cockroaches in the harbourage through the mutual contact. The slow-acting insecticide in the lipid layer of the particles is 25 thus spread throughout the colony of cockroaches.

30 A second aspect of the invention is illustrated in Figure 2. To control a flying insect pest such as a moth or fruit fly, dispensers are placed in the crop where the moth or fruit fly is a pest. The dispenser consists of a shallow tray 10, to which are attached cross vanes 12. A lid 13 is placed over the cross vanes 12 in order to 35 divert rainwater and debris from landing on the tray.

The dispenser is suspended from a branch or other suitable support by the hanger 14. A source 15 of the sexual attractive pheromone of the species is attached to the cross vanes 12 and the vanes 12 are coated with a soft material with a very low coefficient of friction. 5 The tray 10 contains a layer 16 of several grams of coated iron particles formulated with the sexual pheromone of the species.

10 The flying insects attracted by the pheromone source attempt to alight on the cross vanes 12 but are unable to do so because of the slippery surface thereof. They fall into the tray 10, thereby receiving an inoculum of the powder before flying off. The presence of the pheromone emitting sources on the body of the insect interferes 15 with its ability to detect females of the same species by locating the aerial pheromone trail they produce, and mating does not occur. The mechanisms of interference may include overstimulation or imbalance of stimulation 20 to the sensory receptors, and confusion effects on both male and females produced by males, emitting female signals.

25 Alternatively, the soft iron particles 16 contained in the tray 10 may be formulated with a slow acting insecticide. Males of the flying insect pest species attracted by the pheromone source alight on the tray 10 and pick up the soft iron particles 16 formulated with the insecticide on their bodies before flying off. 30 During mating quantities of the powder will be spread to other insects of the same species and the slow acting insecticide formulated with the soft iron particles will be spread throughout the local species.

35 A third aspect of the invention is illustrated in Figure 3. Again, to control a flying insect pest such as a moth or fruit fly, dispensers are placed in the crop where the

moth or fruit fly is a pest. The dispenser consists of a strip of material 20 with conducting or magnetic properties and which is coated with soft iron particles 21. The soft iron particles are anchored by virtue of 5 the conducting or magnetic properties of the strip 20. A lid 22 is placed or suspended over the strip in order to divert rainwater and debris. The dispenser is suspended from a branch or other suitable support by the hanger 23. A source of the sexual attractive pheromone of the 10 species is attached to the strip 24. The soft iron particles anchored to the strip 21 are formulated with the sexual pheromone of the species.

Males of the species attracted by the pheromone source 15 alight on the strip 20 and pick up the powder formulated with pheromone 21 on their bodies before flying off. The presence of pheromone emitting sources on the body of the insect interferes with its ability to detect females by locating the aerial pheromone trail they produce, and 20 mating does not occur.

Alternatively, the soft iron particles 21 anchored to the strip 20 may be formulated with a slow acting insecticide. Males of the flying insect pest species 25 attracted by the pheromone source alight on the strip 20 and pick up the soft iron particles formulated with the slow acting insecticide 21 on their bodies before flying off. During mating, quantities of the powder will be 30 spread to other insects of the same species and the slow acting insecticide formulated with the soft iron particles will be spread throughout the local species.

It will be understood by those skilled in the art that 35 the devices described with reference to Figure 1A, 1B, 2 or 3 may be modified in their design to take account of differences in the behaviour among the pests that it is desired to control. Furthermore, the means of attracting

pests into such devices are not limited to chemical attractants or pheromones. They may include food sources, light, colour, visual patterns, infra-red sources, and acoustic sources or a combination of sensory
5 signals, depending upon the attractive power of the signal to the pest concerned.

CLAIMS:

1. A method of controlling pests which comprises exposing a surface of the pest to a particulate composition containing particles of an initially unmagnetized material, which is capable of becoming magnetically polarized when subjected to an electrical or magnetic field, the said particles being associated with at least one pesticide or behaviour modifying chemical.
5
- 10
2. A method so claimed in claim 1 wherein the particles comprise metallic iron, nickel or cobalt, or mixtures thereof.
- 15
3. A method as claimed in claim 1 or claim 2 wherein the particles are coated with a material which is a carrier for the pesticide or behaviour modifying chemical, or coated directly with the pesticide or behaviour modifying chemical.
20
4. A method so claimed in claim 3 wherein the carrier comprises a lipid, a resin or a polymer.
- 25
5. A method as claimed in claim 4 wherein the lipid is a fatty acid, or an ester or salt thereof.
- 30
6. A method as claimed in any one of the preceding claims wherein the particles have a unit weight corresponding to that of a sphere of a diameter in the range of from 0.1 to 50 micrometres.
- 35
7. A method as claimed in any one of the preceding claims wherein the pesticide is an insecticide, acaricide, fungicide, insect growth regulator or chemosterilant.

8. A method as claimed in any one of claims 1 to 6 wherein the pesticide is a bacterium, fungus or virus.
- 5 9. A method as claimed in any one of claims 1 to 6 wherein the behaviour modifying chemical is a pheromone or allelochemical.
- 10 10. A method as claimed in any one of claims 1 to 8 wherein the pesticide is a chemical or naturally occurring insecticide or acaricide which comprises up to 10% by weight of the particulate composition.
- 15 11. A method as claimed in any one of claims 1 to 6 or claim 8 wherein the pesticide is a bacterium, fungus or virus which comprises up to 40% by weight of the particulate composition.
- 20 12. A method as claimed in any one of claims 1 to 6 or claim 9, wherein the behaviour modifying chemical comprises from 1 picogram to 1 microgram per particle having an average particle size of from 0.1 to 50 micrometers.
- 25 13. A method as claimed in any one of the preceding claims wherein the pest is lured to a dispenser in which one or more surfaces is coated with the particulate composition.
- 30 14. A method as claimed in claim 13 wherein the pest is lured to the dispenser by a chemical attractant, biological attractant, food source, light, colour, visual pattern, infra red or acoustic source, or a combination thereof.
- 35 15. A pesticidal composition in particulate form which comprises particles of an initially unmagnetized

material, which is capable of becoming magnetically polarized on exposure to an electrical or magnetic field, the said particles being associated with at least one pesticide or behaviour modifying chemical.

5

16. A composition as claimed in claim 15 wherein the particles comprise iron, nickel or cobalt, or mixtures thereof.

10

17. A composition as claimed in claim 15 or claim 16 wherein the particles are coated with a material which is a carrier for the pesticide or behaviour modifying chemical, or coated directly with the pesticide or behaviour modifying chemical.

15

18. A composition as claimed in claim 17 wherein the carrier comprises a lipid, a resin or a polymer.

20

19. A composition as claimed in claim 18 wherein the lipid is a fatty acid, or an ester or salt thereof.

25

20. A composition as claimed in any one of claims 15 to 19 wherein the particles have a unit weight corresponding to that of a sphere of a diameter in the range of from 0.1 to 50 micrometres.

30

21. A composition as claimed in any one of claims 15 to 20 wherein the pesticide is an insecticide, acaricide, fungicide, insect growth regulator or chemosterilant.

35

22. A composition as claimed in any one of claims 15 to 20 wherein the pesticide is a bacterium, fungus or virus.
23. A composition as claimed in any one of claims 15 to 20 wherein the behaviour modifying chemical is a

pheromone or allelochemical.

24. An insect trap or dispenser in which at least one surface thereof is coated with a pesticidal composition as claimed in any one of claims 15 to 23.

: 414916: SJA: JMG: LONDOCS

10

15

FIGURE 1A

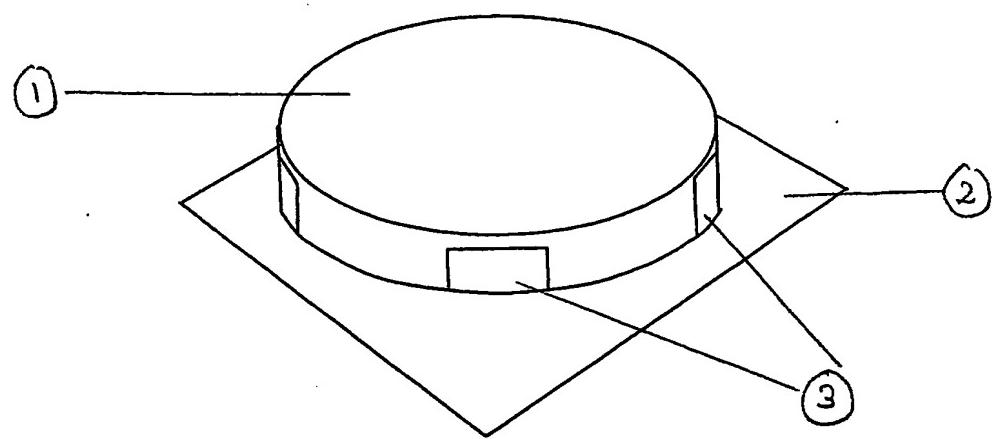


FIGURE 1B

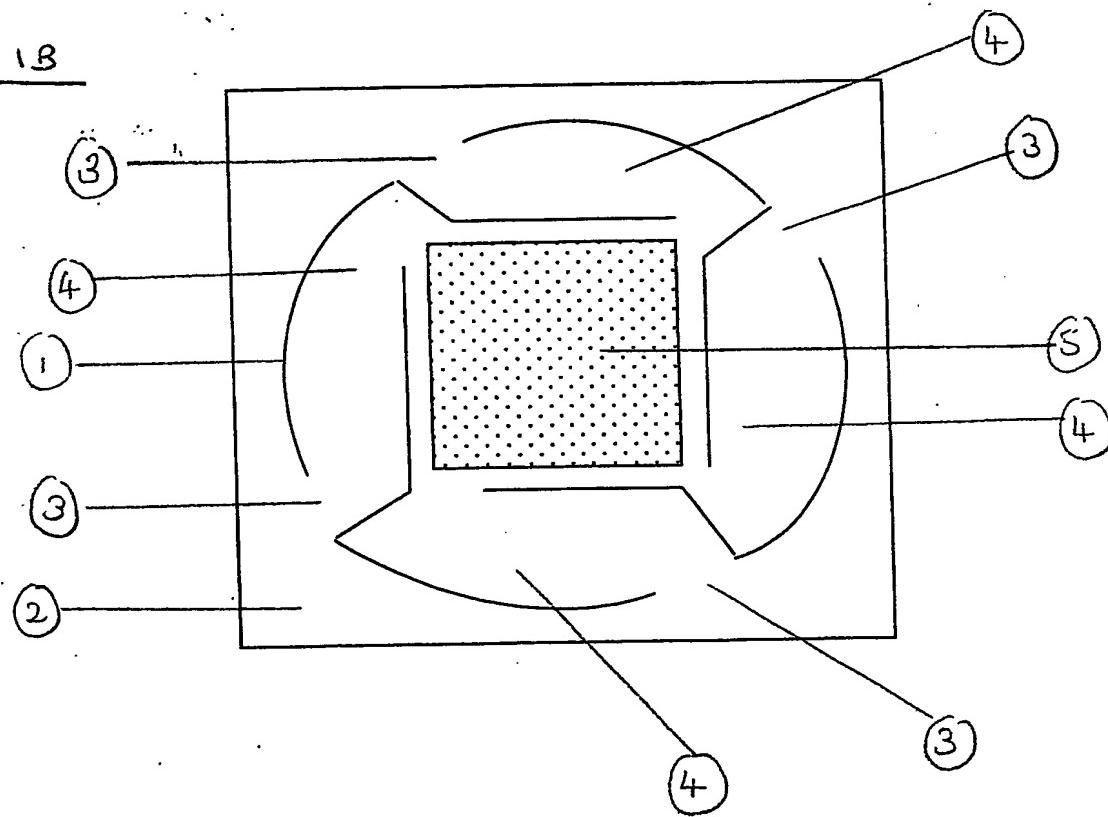


FIGURE 2

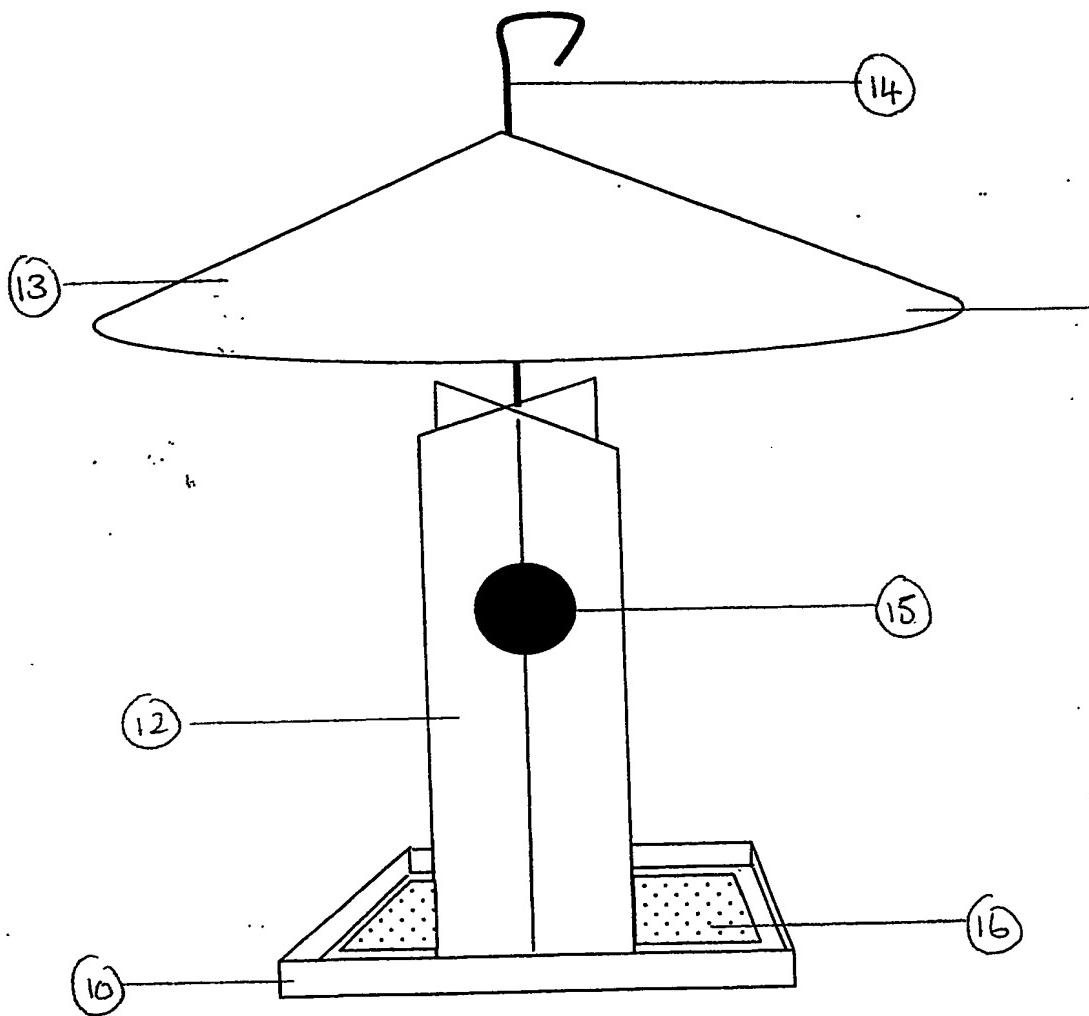
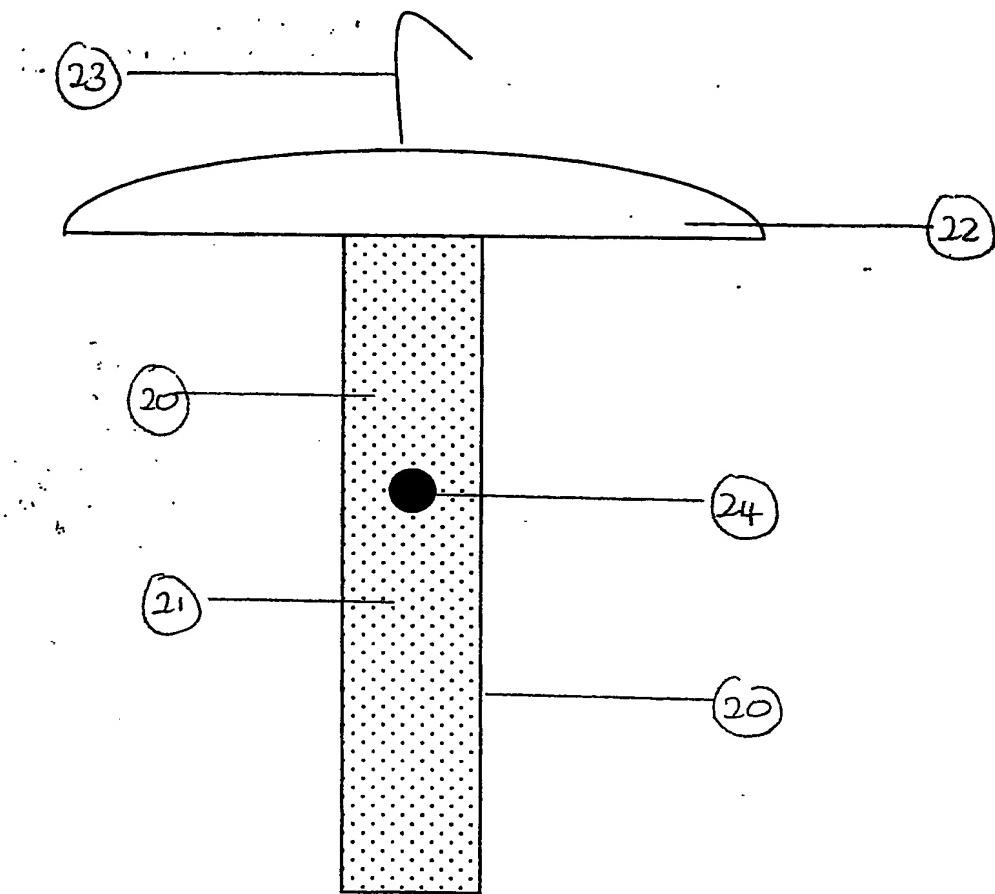


FIGURE 3



**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- BLACK BORDERS**
- IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- FADED TEXT OR DRAWING**
- BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- SKEWED/SLANTED IMAGES**
- COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- GRAY SCALE DOCUMENTS**
- LINES OR MARKS ON ORIGINAL DOCUMENT**
- REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.